

Housing and Environmental Services Health & Safety - Regulatory Work Plan 2017/18

Drawn up in accordance with the National Local Authority Enforcement Code

BACKGROUND

Health and safety at work within workplaces in the UK is regulated jointly by the Health and Safety Executive (HSE) and local authorities. Together they ensure that duty holders manage the health and safety of their workforce and those affected by their work.

The way in which local authorities carry out all of their regulatory functions must meet the principles contained in the [Regulators Code](#). The stated aim of the Code is to seek to promote proportionate, consistent and targeted regulatory activity through the development of transparent and effective dialogue and understanding between regulators and those they regulate. These aims are a direct replication of the 'regulatory principles' stated in Section 2(3) of the Legislative and Regulatory Reform Act 2006 ('the Act').

In order to assist local authorities to demonstrate that they are meeting the Regulators Code, the HSE publish a [National Local Authority Enforcement Code – Health and Safety at Work \(NLAEC\)](#). Therefore, in order to show that our health and safety regulatory function is meeting the Code, we need to show that we are working to the principles contained within the NLAEC.

The contents of the Intervention Plan is based on the Local Authority Circular LAC 67/2 (rev5) dated May 2016 and national priorities of Annex A of the NLAEC (2015). It is also influenced by local and regional priorities identified through our discussions with business groups, public health and emergency planning colleagues.

ANNEX 1 – Intervention Plan 2016/17 – Completed Outcomes

Lead Officer	Intervention	Target	Outcome
LB	Inspections of petting farms ¹	4 inspections <ul style="list-style-type: none"> Highfield Happy Hens Beehive Farm Betty's Farm Seale Pastures Farm Identification of any other relevant premises	No inspections completed as determined that premises were closed or HSE enforced.
All	Identification of potential fragile roofs ²	Spot checks during all other intervention visits (estimated 350)	Not formally recorded
All	Respirable silica dust generated during minor construction work (e.g. block cutting, chasing brickwork and cutting concrete floors) ²	Spot checks during all other intervention visits (estimated 350) and pollution complaints (estimated 10 per year)	Not formally recorded
All	Continue to draw dutyholders' attention to their duty to manage asbestos and take action where necessary ²	Spot checks during all other intervention visits (estimated 350). To include HSE weblinks in standard letter sent following food inspections.	Not formally recorded
LB/MT	Reactive regulatory interventions such as dealing with complaints	Estimated 30 per year	H&S Enquiries (O1) – 13 H&S Complaints (O2) - 19
LB/MT	Proactive advice and direction through event management planning ¹	Estimated 3 events per year <ul style="list-style-type: none"> Bearded Theory Bloodstock Sausage and Cider Festival 	EH attendance at relevant Safety Advisory Group (SAG) meetings organised by Emergency Planners
SC	Updating of Civica database with most recent data from business rates to identify possible Cat A premises	Revise Civica with 2016 Business Rates data	Not completed
LB/MT	Investigation of relevant notified accidents and RIDDOR (using the risk-based incident selection criteria)	Estimated 35 per year	XXXXXX
MT	Promotion and auditing of a tattoo hygiene rating scheme	To be set as part of a Countywide project	Complete. First applicant, achieved the highest rating of 4.
	Gas safety inspections in mobile catering units		Not completed
All	Develop case studies to evidence the benefit of regulation on economic growth ³		Four case studies produced across all services
All	Support and promotion of Better Business Regulation	To be set by BBR Partnership Forum	Complete
All	Support and promotion of Healthy Workplaces Derbyshire	4 SDDC businesses to have signed up to HWD	4 businesses understood to have signed up
All	Enforcement of smoke-free law in private vehicles	Agree Memorandum of Understanding with Derbyshire constabulary and implement the agreed actions	Discussions ongoing

Footnote;

¹ – Identified as a priority from Annex A of the NLAEC (2015).

² – Identified as a national priority in LAC 67/2 (rev4.1)

ANNEX 2 – Intervention Plan 2017/18

Lead Officer	Intervention	Topics to be covered	Target
LB	Inspections of petting farms ²	Infectious disease control	Identification of any relevant premises. Completion of a full H&S inspection
MT	Raising awareness of dangers regarding bottled gases in the hospitality industry	Risks associated with handling of beverage gases	Food premises already targeted for a visit, mainly low risk premises (e.g. wet pubs)
LB	Raise awareness about gas safety issues in commercial catering premises	Proper installation, maintenance and inspection	Food premises, especially low performing (0-2 Food Hygiene Rated)
MT/LB	Proactive inspection of high risk premises/activities included in LAC67-2	Vehicle movements, work at height, unstable loads	High volume warehousing
LB/MT	Reactive regulatory interventions such as dealing with complaints	Dependant on the nature of the complaint	Estimated 30 per year
LB/MT	Proactive advice and direction through event management planning ²	Crowd control and movement	Estimated 3 events per year <ul style="list-style-type: none"> • Bearded Theory • Bloodstock • Sausage and Cider Festival
SC	Updating of Civica database with most recent data from business rates to identify possible Cat A premises	Intelligence gathering	Revise Civica with 2016 Business Rates data
LB/MT	Investigation of relevant notified accidents and RIDDOR (using the risk-based incident selection criteria)	Post incident investigation	Estimated 35 per year
All	Support and promotion of Healthy Workplaces Derbyshire	Project support and promotion	4 SDDC businesses to have signed up to HWD
All	Support and promote the Derbyshire tattoo rating scheme ³	Infection control	Dependant on number of signatories
All	Enforcement of smoke-free law in private vehicles ³	Respiratory illness	Agree Memorandum of Understanding with Derbyshire constabulary and implement the agreed actions
All	Develop case studies to evidence the benefit of regulation on economic growth ³		Target of two case studies
MT	Inspection and sampling of spa pools for legionella	Legionella infection	3 samples each from 2 known premises with multiple spas. 1 sample each from premises with single spas

Footnote;

² – Identified as a national priority in LAC 67-2 (rev6)

³ – Included within the Derbyshire Chief Regulators Health and Safety Group Workplan 2016/17