Asset Monitoring and Maintenance

Service/Record	Where held	Security Classification	Retention period	Method of disposal	Statutory provisions
Summary management reporting on the overall assets of our authority – - Asset Management Plan - Schedules of acquisition - Consolidated current asset reports - Annual reports - Summary of current assets - Asset registers	Electronic record and website		Permanent	Not applicable	Common practice
Subsidiary asset registers	Electronic record and secure storage		Destroy 7 years after the conclusion of the financial transaction that the record supports	Electronic deletion and confidential waste collection	Common practice
Process of reporting and reviewing assets status – - Routine returns and reports on asst status - Acquisition and disposal reports and proposals	Electronic record and secure storage		Destroy 2 years after administrative use is concluded	Electronic deletion and confidential waste collection	Common practice
The process of maintaining assets – - Condition surveys	Electronic record		Destroy 6 years after final action contracts executed underhand and 12 years after final action contracts executed under seal	Electronic deletion	Common practice
The process of maintaining plant and equipment – - Service records - Plant files	Secure Storage		Destroy 7 years after last action	Confidential waste collection	Common practice
Asset Acquisition and Disposal					
Management of the acquisition – by financial purchase or lease – and disposal – by sale or write off – process for assets – - Legal documents relating to the purchase/sale - Particulars of sale documents - Board of summary - Leases - Applications for leases, licences and rental revision - Tender documents - Conditions of contracts - Certificates of approval	Secure storage		Destroy 6 years if under £50K, 12 years if over £50K, after all obligations/entitlements are concluded	Confidential waste collection	Statutory
Property and Land Management					
Reports to management on overall property of the authority – - Consolidated property and buildings annual reports - Summary of leased property - Summary of local authorities owned property - Site register - Register of leases	Secure storage		Permanent	Confidential waste collection	
Property Acquisition and Disposal					
- Blanc	Secure storage		Retain for life of property + 12 years	Confidential waste collection	
Management of the disposal process – by sale or write off – for real property – Legal documents relating to the sale Particulars of sale documents	Secure storage		Destroy 15 years after all obligations/entitlements are concluded	Confidential waste collection	

	_				_			
- Board of summary								
- Tender documents								
- Conditions of contracts								
Property Development and Renovation								
The process of managing and undertaking								
renovations and development of property								
Management – buildings and estates of	Secure storage		Permanent	Confidential waste collection				
'special interest' – - Project specifications	-							
- Plans								
- Installation/operating manuals								
- Certificates of approval								
Management – all other buildings and estates								
_ all other buildings and estates	Secure storage		Retain for life of property or building	Confidential waste collection				
- Project specifications								
- Plans								
- Installation/operating manuals								
- Certificates of approval								
Asbestos records	Electronic record		Permanent	Not applicable				
Associate lecolus	Liconoffic fection		i GillanGill	Two applicable				
The action process involved in the	-		Destroy 3 years after the conclusion of the					
development and renovation of property –	Secure storage		transaction that the record supports	Confidential waste collection				
- Work orders								
- Tender documents								
Final accounts	Secure storage		Destroy 6 years after final action contracts	Confidential waste collection				
i mai abbounto	Coours storage		executed underhand and 12 years after final	Commontal Wadte commont				
Conditions of contract			action contracts executed under seal					
Leasing and Occupancy			20.00. 20.11.20.0 0.100.000 u.120.1 000.1					
Leasing and Occupancy				T.				
The process of managing leased property –	Secure storage		Destroy 15 years after the expiry of the lease	Confidential waste collection				
- Lease agreements								
- Rental expenditure authorities								
- Valuation queries								
- Applications for leases, licences and rental								
revision								
The process of managing the occupancy of	Secure storage		Destroy 7 years after the conclusion of the	Confidential waste collection				
property –	Secure storage		transaction that the record supports	Confidential waste collection				
- Requests for works, cleaning								
The internal process to develop or extend the								
capabilities of a system used to support the	Held electronically by individual		Retain for life of system then destroy		Common practice			
activities of our authority	departments		, , , , , , , , , , , , , , , , , , , ,					
The process to implement a system used to	Held electronically by individual		Destroy 7 years after last action		Common practice			
support our activities –	departments		, . ,					
- Implementation plan								
The process to support and administer a	Held electronically by individual		Destroy 5 years after last action					
system used to support our activities	departments		Desirey o years after last action					
Transport Management								
The process of acquisition and disposal of								
vehicles through lease or purchase –								
- Leases								
- Contracts				L				
- Quotes	Secure storage and electronic record		Destroy at disposal of the vehicle	Electronic deletion and confidential waste	Common practice			
- Vehicle log book (V5)	-		•	collection and transfer to new owner				
- Vehicle log book (V5)								
The process of managing allocation and								
maintenance of vehicles –								
- Drivers hours log books	Secure storage		Destroy 2 years after last record	Confidential waste collection	Operators Licence requirement			
- Drivers daily vehicle checks					-1			
	•	•	1	•	<u>'</u>			

- Safety inspections and servicing								
The process of recording vehicle usage – - Vehicle tracking reports/Vehicle fuel reports	Electronic record		Destroy at sale or the disposal of the vehicle	Electronic deletion	Common practice			
Local Land Charges								
The process of storing Local Land Charge search results, including original order paperwork	Secure storage and electronic record		12 Years from issue of results	Electronic deletion and confidential waste collection	Common practice			
The process of storing Local Land Charge Personal search results, including original order paperwork	Secure storage		12 Years from issue of results	Confidential waste collection	Common practice			
LLC register entry application requests	Secure storage		Permanent	Not Applicable	Common practice			
Daily financial reconciliations	Secure storage		12 years from issue	Confidential waste collection	Common practice			
Culture and Community Services	Culture and Community Services							
I								
Land drainage files Flooding files Town Centre files	Secure storage at George Holmes Ind Estate		Permanent		Common practice			
	Secure storage at George Holmes Ind							

Culture and Community Services				
Land drainage files Flooding files Town Centre files	Secure storage at George Holmes Ind Estate	Permanent		Common practice
Grand Funding records	Secure storage at George Holmes Ind Estate and Civic offices	In accordance with Financial Regulations	Confidential Waste collection	
Facilities and Open Space records	Secure storage at George Holmes Ind Estate and Civic offices	Permanent		
Contracts	Secure storage in Legal Department			
Tree stock records	Secure storage in Civic Offices	Permanent		
Accident forms – Environmental Education Project	Paper copies in secure storage in EEP office	Permanent (40 yrs from date of accident)	N/A	H&S at work Act
Rosliston 'RASCALS' holiday club/after school club registers	Paper copies in secure storage at George Holmes Ind Estate	Unclear – linked to child protection. Originally we were told 5 years when Rascals closed, then 8 years, then indefinitely		
Economic Development				
Address books - contacts in partner organisations, etc.	Electronic data (database and Outlook)	Indefinitely; Constantly updated	Electronic deletion	
Business Records: Business database, property records, enquiry records	Electronic data on Tractivity	Indefinitely; Constantly updated	Electronic deletion/Held in Archive	
Mailshots	Electronic data on network	Up to one year	Electronic deletion	
Event attendees	Electronic data on network plus Eventbrite	3 years	Electronic deletion	
Survey responses	Hard copy and electronic data on network	3 years	Electronic deletion; Confidential waste collection	

Property contacts	Electronic data on network		Indefinitely; Constantly updated	Electronic deletion	
Marketing: The National Forest Walking Festival (database of members of the public/potential attendees)	Electronic data on network		Individuals contacted periodically to confirm that they want to remain on the database	Electronic deletion	
Market traders (including insurance, food hygiene, etc certificates)	Electronic data on network		Individuals contacted periodically to confirm that they want to remain on the database; Certificates deleted after 2 years	Electronic deletion	
Wedding Fair Traders	Electronic data on network		Individuals contacted periodically to confirm that they want to remain on the database	Electronic deletion	
Property Bulletin recipients - enquirers, owners and agents, colleagues	Electronic data on network		Individuals contacted periodically to confirm that they want to remain on the database	Electronic deletion	
Projects & Initiatives SD Partnership members	Hard copy and electronic data on network		Lifetime of project; Constantly updated	Electronic deletion; Confidential waste collection	
Pancake Races participants	Hard copy and electronic data on network		Lifetime of project; Constantly updated	Electronic deletion; Confidential waste collection	
Photography (including credits)	Electronic data on network		Indefinitely	Electronic deletion	
Town Centre Group	Electronic data on network		Lifetime of project; Constantly updated	Electronic deletion	
Initiatives eg contacts for Breweries, Schools Pos, Community Venues, etc	Electronic data on network		Lifetime of project, Constantly updated	Electronic deletion	
Grants & Contracts					
Awards and recipients of business and VCO grants - histroic and current	Hard copy and electronic data on network		Indefinitely	Electronic deletion; Confidential waste collection	
South Derbyshire Business Advice Service - contract, beneficiary records, attendance lists	Hard copy and electronic data on network		At least lifetime fo contract	Electronic deletion: Confidential waste collection	
Invoices and financial records	Hard copy and electronic data on network		Indefinitely	Electronic deletion, Confidential waste collection	
		-			

Enforcement, Certification and Prosecution

The administration of applications, registration, certification and licences in relation to the authorities registration requirements – Applications for animal registration Applications for release of animals impounded Certificates of registration of: o taxi drivers o beauty therapists Animal movement licences Gaming Registration to sell poison	Electronic record and secure storage		Destroy 2 years after registration or entitlement lapses	Electronic deletion and confidential waste collection	
The process involved in licensing sites for the holding or use of toxic or hazardous substances – petroleum, agricultural chemical products or herbicides – Diesel licences Petroleum licences Health and safety licensing Hazardous substances Contaminated land register/pollution			Permanent		
Environmental Health					
Enforcement inspection records; Food safety Health and safety Licensing enforcement Environmental Permits	Hard copy and electronic record		Destroy personal data after 6 years unless specific statute requires its retention. Non personal data may be retained for historical or research purposes	Electronic deletion and confidential waste collection.	Environmental Permitting Regulations 2010
Service requests and complaint investigations; Food safety complaints Infectious disease notifications Health and safety Licensing enforcement Pollution/nuisance Pest control	Hard copy and electronic record		Destroy personal data after 6 years unless specific statute requires its retention. Non personal data may be retained for historical or research purposes	Electronic deletion and confidential waste collection.	
Statutory notices	Hard copy and electronic record		Permanent, unless the provisions of the statutory notice has been discharged in which case destroy after 6 years	Electronic deletion and confidential waste collection.	
Prosecution or sanction for an individual or organisation for failing to meet their statutory responsibilities	Hard copy and electronic record		Destroy after 5 years	Electronic deletion and confidential waste collection.	Rehabilitation of Offenders Act
Environmental data	Hard copy and electronic record		Permanent	Electronic deletion and confidential waste collection.	
Private water supply records of risk assessments, sampling and analysis	Hard copy and electronic record		Retain for at least 30 years	Electronic deletion and confidential waste collection.	Private Water Supply Regulations
Abandoned vehicle investigations	Hard copy and electronic record		Destroy after 2 years	Electronic deletion and confidential waste collection.	Requirement by DVLA for use of their WEE system
Parking permits	Electronic record		Destroy after 2 years	Electronic deletion	
Fixed Penalty Notices and associated correspondance	Hard copy and electronic record		Destroy after 2 years. Non personal data may be retained for historical or research purposes	Electronic deletion and confidential waste collection.	
Non-evidential Body Mounted Video Camera data	Electronic record		Destroy after 30 days	Electronic deletion	Rehabilitation of Offenders Act
Fraud Investigation					
Fraud Investigation process: Intelligence material Documentary/Audio/Visually recorded evidence	Electronic records and secure storage	RESTRICTED	years from date of investigation closure where no sanction administered or defendant acquitted - years from date of investigation closure where a	Electronic deletion and confidential waste	Criminal Procedures and Investigations Act 1996

Audio media RIPA documents Sanction documents and notices			sanction administered - 6 years from the date of conviction	conection.	
<u>Prosecution</u>					
Prosecution files	Electronic records and secure storage	RESTRICTED	, ,	Electronic deletion and confidential waste collection	Criminal Procedures and Investigations Act 1996
Bye-Laws					
Enactment					
The process of making local laws – Master Set of bye-laws			Permanent (Unless superseded when they can be destroyed once all enforcement action is completed)		
Policy development documents Correspondence Submissions	Secure storage			Confidential waste	Required in evidence
Administration and Enforcement					
The process of administering and enforcing bye-laws – · Applications and certificates · Permits · Licences · Infringement notices – parking · Correspondence			Destroy 2 years after certificate has expired or penalty payment has been made or the matter has been finished or correspondence on the matter has ceased		
Waste Management					
The provision of hard waste removal, destruction and waste reduction services by the authority to ratepayers					
Collection					
The process of arranging the collection or transportation of household waste	Stored electronically on the DEFRA website		Not applicable	Not applicable	Statutory (Environmental Protection Act 1990)
The process of arranging the collection or transportation of controlled waste	Stored electronically on the DEFRA website		Not applicable	Not applicable	Statutory (Environmental Protection Act 1990)

Financial management

Accounts and audit								
Reporting	Reporting							
The process that consolidates financial transactions on an annual basis for corporate reporting purposes - Consolidated annual reports - Consolidated financial statements - Statement of financial position - Operating statements - General ledger	Electronic record				Common practice			
Public Display accounts	Electronic record and website		Destroy 7 years after the end of the financial year in which the records were created	Electronic deletion	Common practice			
The process that supports and consolidates financial transactions on a periodic basis, superseding those from the previous period. Does not include journals and subsidiary ledgers and cash books –								

•			•	
- Consolidated monthly and quarterly returns				
- Consolidated monthly and quarterly	Electronic record	Current year + 6 years	Electronic deletion	Common practice
financial statements		, ,		'
- Working papers for the preparation of the				
above				
- Monthly accrual statements				
- Cashflow statements				
- Creditor listings and reports				
- Debtor listings and reports				
Asset register				
Register of all fixed assets:				
- Land				
- Property				
- Held for sale	Electronic record	Current year + 6 years	Electronic deletion	HMRC - Compliance Handbook Manual CH15400
- Community assets				·
- Dwellings				
- Vehicles, plant & equipment				
- Under construction Register of all leases:	 			
- Vehicles, plant & equipment	Electronic record	Current year + 6 years		HMRC - Compliance Handbook Manual CH15400
- Industrial units	Liectionic record	Current year + 0 years		TivilC - Compilance Handbook Wandai Ci 113400
Financial transactions management				
Management of the approvals process for	· · ·		T	
purchase, including investigations –				
- Appointments and delegations				
- Audit investigations	Secure storage	Current year + 6 years	Confidential waste collection	Statutory
- Arrangements for the provision of goods				
and/or services				
Identification of the receipt. Expenditure and				Otatutani
write offs of public monies –				Statutory This position may be reduced with the agreement
				This period may be reduced with the agreement
write offs of public monies – - Allowances				
write offs of public monies –				This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices	Electronic record and secure storage		Electronic disposal and confidential waste	This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books	Electronic record and secure storage for 2 months	Current year + 6 years	Electronic disposal and confidential waste	This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements	Electronic record and secure storage for 2 months	Current year + 6 years	Electronic disposal and confidential waste collection	This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils	9	Current year + 6 years		This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements	9	Current year + 6 years		This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual	9	Current year + 6 years		This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual	9	Current year + 6 years		This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes	9	Current year + 6 years		This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders	9	Current year + 6 years		This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes	9	Current year + 6 years		This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash	9	Current year + 6 years		This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts	9	Current year + 6 years		This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued	for 2 months		collection Flectronic disposal and confidential waste	This period may be reduced with the agreement of C & E and or the I R
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued - Registrars quarterly returns	9		collection Flectronic disposal and confidential waste	This period may be reduced with the agreement
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued - Registrars quarterly returns - Sales records	for 2 months		collection Electronic disposal and confidential waste	This period may be reduced with the agreement of C & E and or the I R
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued - Registrars quarterly returns - Sales records - Periodic income records	for 2 months		collection Electronic disposal and confidential waste	This period may be reduced with the agreement of C & E and or the I R
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued - Registrars quarterly returns - Sales records - Periodic income records - Income posting slips	for 2 months		collection Electronic disposal and confidential waste	This period may be reduced with the agreement of C & E and or the I R
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued - Registrars quarterly returns - Sales records - Periodic income records - Income posting slips - Debtor accounts – non-current records	for 2 months		collection Electronic disposal and confidential waste	This period may be reduced with the agreement of C & E and or the I R
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued - Registrars quarterly returns - Sales records - Periodic income records - Income posting slips - Debtor accounts – non-current records - Income correspondence	for 2 months	Current year + 2 years	collection Electronic disposal and confidential waste	This period may be reduced with the agreement of C & E and or the I R
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued - Registrars quarterly returns - Sales records - Periodic income records - Income posting slips - Debtor accounts – non-current records - Income correspondence Credit checks for new customers and	for 2 months	Current year + 2 years Destroy 2 years after administrative use is	collection Electronic disposal and confidential waste	This period may be reduced with the agreement of C & E and or the I R
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued - Registrars quarterly returns - Sales records - Periodic income records - Income posting slips - Debtor accounts – non-current records - Income correspondence	for 2 months Electronic record and secure storage	Current year + 2 years	Electronic disposal and confidential waste collection	This period may be reduced with the agreement of C & E and or the I R CIPFA and common practice
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued - Registrars quarterly returns - Sales records - Periodic income records - Income posting slips - Debtor accounts – non-current records - Income correspondence Credit checks for new customers and suppliers	for 2 months Electronic record and secure storage Electronic records	Current year + 2 years Destroy 2 years after administrative use is concluded	Electronic disposal and confidential waste collection Electronic deletion	This period may be reduced with the agreement of C & E and or the I R CIPFA and common practice Common practice
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued - Registrars quarterly returns - Sales records - Periodic income records - Income posting slips - Debtor accounts – non-current records - Income correspondence Credit checks for new customers and suppliers Processes that balance and reconcile	for 2 months Electronic record and secure storage	Current year + 2 years Destroy 2 years after administrative use is	Electronic disposal and confidential waste collection	This period may be reduced with the agreement of C & E and or the I R CIPFA and common practice
write offs of public monies – - Allowances - Invoices - Credit card statements - Cash books - Cheque counterfoils - Bank statements - Subsidiary ledgers – annual - Journals – annual - Vouchers - Periodic payment records - Creditor notes - Work Orders - Delivery notes - Petty cash - Receipts - Records of receipt books issued - Registrars quarterly returns - Sales records - Periodic income records - Income posting slips - Debtor accounts – non-current records - Income correspondence Credit checks for new customers and suppliers Processes that balance and reconcile financial accounts –	for 2 months Electronic record and secure storage Electronic records	Current year + 2 years Destroy 2 years after administrative use is concluded Destroy 2 years after administrative use is	Electronic disposal and confidential waste collection Electronic deletion	This period may be reduced with the agreement of C & E and or the I R CIPFA and common practice Common practice

Insurance				
nsurance claims				
amage	Electronic and secure storage	Case concluded + 6 years	Electronic deletion and confidential waste collection	Limitation Act 1980 (Section 2)
njury	Electronic and secure storage	Case concluded + 4 years	Electronic deletion and confidential waste collection	Limitation Act 1980 (section 11)
Claims management datatbase	Electronic records	Case concluded + 6 years	Electronic deletion	Limitation Act 1980 (Section 2)
nsurance policies				
	Electronic records	Current year + 6 years	Electronic deletion	Common practice
Motor insurance database	Electronic records	Life of vehicle	Electronic deletion	Common practice
Public Liability and Employer's Liability	Electronic records	Date of expiry of policy + 40 years	Electronic deletion	The Employer's Liability (Compulsory Insurance Regulations 1998
Payroll				
Payroll records:		T	T	T
- Timesheets	Electronic records	Current year + 6 years	Electronic deletion	Common practice
- Overtime	Electronic records	Outton your . 5 yours	Electronic deletion	Common practice
- Expense claims				
Faxation				
/AT returns	Electronic records	Current year + 6 years	Electronic deletion	HMRC - Compliance Handbook Manual CH154
/AT documents	Electronic records	Current year + 6 years	Electronic deletion	HMRC - Compliance Handbook Manual CH154
/AT partial exemption calculation	Electronic records	Current year + 6 years	Electronic deletion	Common practice
CIS returns	Electronic and secure storage	Current year + 6 years	Electronic deletion and confidential waste collection	Common practice
Employee benefit taxation records – - Motor vehicle logs - Fringe benefits tax records	Electronic records and secure storage	Destroy 7 years after the end of the financial year in which the records were created	Electronic deletion and confidential waste collection	Statutory – Taxes Management Act 1970; Audi Commission Act 1998
Financial provisions				
Budgets and Estimates				
The process of finalising our annual budget –			T	T
	Electronic records and website	Permanent	Not applicable	Common practice
- Annual budget The process of developing our annual budget	.+			
	1	Destruction of the administrative use is	1	
	Electronic records and website	Destroy 2 years after administrative use is concluded	Electronic deletion	Common practice
- Departmental budgets	1	conciduea	1	
- Draft estimates		Destroy 2 years offer administrative use is		
Budget Monitoring - Quarterly statement	Electronic records and website	Destroy 2 years after administrative use is concluded	Electronic deletion	Common practice
Treasury management				
Loans				
The activity of borrowing money to enable us		T	T	T
to perform our functions and evercise our	Secure storage	Date of repayment + 12 years	Confidential waste collection	Limitation Act 1980 (Section 8)
powers – - Loan files	<u></u>			
Summary management of loans -	Secure storage	Permanent	Not applicable	Common practice
Investments and Mortgages				
Records relating to all investments:		<u> </u>	T	T
- approval of broker confirmation	1	1	1	
	Electronic	Date of repayment + 12 years	Electronic deletion	Limitation Act 1980 (Section 8)
- details of lender - term of loan	Liectionic	Date of repayment 112 years		•

- audit letter	l I	I	İ	I	ı
Records relating to mortgages: - details of lender incl property address for					
mortgage - term of loan					
- repayment details			Destroy physical paper records after 3 months –		
- Financial statements - Attachment of earnings/benefits	Electronic records and secure storage		destroy electronic images 7 years after the conclusion of the financial transaction that the		Statutory and common practice
- Miscellaneous recovery documentation			record supports		
Records relating to inspection processing –			Destroy physical paper records after 3 months –		
- Benefits investigations referrals - BIU FR1 Adjudication decisions	Electronic records and secure storage		conclusion of the financial transaction that the	Electronic deletion and confidential waste collection	Statutory and common practice
- Suspected Fraud reports - Visit documentation			record supports		
Council Tax Valuation					
The valuation of rateable land within a municipal district for the purpose of the					
making of the rate -					
- Valuation lists - Correspondence	Secure storage		Permanent	Not applicable	Common practice
- Objections - Reports					
Property History					
The recording of information for rateable	П				
properties identifying the person or company rated, including details of the value of the					
property – - Rate books	Electronic records		Permanent	Not applicable	Common practice
- Rate books					
- Registers of rateable properties Rates and Local Authority Tax Correspondence					
The activity of corresponding with ratepayers					
in relation to valuations, rates and charges, objections, submissions, appeals, rate remissions and other rates related matters –					
- Notices					
- Objections - Applications	Electronic records		Destroy 7 years after last action	Electronic deletion	Common practice
- Correspondence					
- Rate certificates					
Notices of acquisitions and disposition Rate property file					
Financial provisions					
Budgets and Estimates					
The process of finalising our annual budget –			_		Common practice
- Annual budget	Electronic records and website		Permanent	Not applicable	Only one version of the annual budget needs to be kept
The process of developing our annual budget					ne vehi
– - Draft budgets	Electronic records and website		Destroy 3 years after annual budget adopted	Electronic deletion	Common practice
- Departmental budgets					
- Draft estimates The process of reporting which examines the			Destroy offer payt years' applied hidget has been		
budget in relation to actual revenue and expenditure –	Electronic records and website		Destroy after next years' annual budget has been adopted and that years accounts have been audited and closed	Electronic deletion	Common practice
- Quarterly statement	ı				<u> </u>

Treasury management							
Loans and Investments							
- Loan files	Secure storage		Destroy 7 years after the loan has been repaid	Confidential waste collection	Statutory		
Summary management of loans – - Loans registers	Secure storage		Permanent	Confidential waste collection	Common practice		
Revenues and benefits							
Grant claims Recovery and enforcement Valuation and banding Reports Joint working Inspections	Electronic records and secure storage		Destroy physical paper records after 3 months – destroy electronic images 7 years after the conclusion of the financial transaction that the record supports	Electronic deletion and confidential waste collection.	Statutory and common practice		
Records relating to Housing Benefits processing – Applications Notices Correspondence Authorisations Appeals Tribunals Overpayments Person and property files Returns Discretionary payment Investigation Joint working Visits	Electronic records and secure storage		Destroy physical paper records after 3 months – destroy electronic images 7 years after life of Claim	Electronic deletion and confidential waste collection.	Statutory and common practice		
Council Tax Valuation The valuation of rateable land within a municipal district for the purpose of the making of the rate – - Valuation lists - Correspondence - Objections - Reports Property History	Secure storage		Permanent	Not applicable	Common practice		

The recording of information for rateable properties identifying the person or company rated, including details of the value of the property – Rate books Rate cards Registers of rateable properties	Electronic records		Permanent	Not applicable	Common practice
Customer Services					
Recording of some inbound phone calls for training and monitoring puruposes	Electronic records		Recording of some inbound phone calls	Electronic deletion	Common practice
General public services					
Emergency Planning					
Process to develop the emergency/disaster plan for the local community – Emergency plan	Electronic records and secure storage	Protect	6 Years	Electronic Disposal and Confidential Waste	Civil Contingencies Act 2004
Business Continuity Plan Framework document for ensuring District Council can continue to deliver critical services	Electronic records and secure storage	Protect	6 Years	Electronic Disposal and Confidential Waste	Civil Contingencies Act 2004
Housing					
Housing Register Application	Electronic data is stored in Abritas.		Electronic data is stored in Abritas.	1	T
	When allocated a tenancy this information is kept on the property file - currently held in locked cabinets. Keys to cabinets are secured in a key safe each evening.		When allocated a tenancy this information is kept on the property file.	Electronic deletion and confidential waste collection	
- Application form - Supporting information		1	1		
Homelessness	Electronic data is stored in Abritas. Individual application files are		Electronic data is stored in Abritas. Individual application files are retained for 12 months in hardcopy and then scanned electronically unless allocated a Council tenancy when this information is kept on the property file.	Electronic deletion and confidential waste	
Declaration and supporting information Temporary Accommodation agreements	retained for 12 months in hardcopy and then scanned electronically unless allocated a Council tenancy when this information is kept on the property file			collection	
- remperary Accommodation agreements	Electronic data is stored in Orchard			Electronic deletion and confidential waste	Limitations Act 1000

Electronic data is stored in Orchard arcHouse.

file Tenancy agreement, notice to quit and any

other paperwork disposed of upon tenancy

termination.

Property related paperwork stays on the property

important paperwork scanned and archived. All

Limitations Act 1980

Electronic deletion and confidential waste

collection

Electronic data is stored in Orchard

Current property related paperwork stays on the property files which are

kept in cupboards with a combination

Individual property files

arcHouse.

lock.

Property file

information - Tenant letters

Tenancy Audit

- Housing register

- Application form and supporting

•	I			•	
	At point of termination - Tenancy				
	agreement, notice to quit and any				
- SDDC permission letters	important paperwork scanned and				
	archived. All other paperwork				
- Mutual Exchange applications	disposed of. Should not be retaining any DD				
- Direct Debit Forms	details - need to review process				
- Contents Insurance form					
- ASB or neighbour nuisance					
- Supporting People request form					
	Electronic data is stored in Orchard				
Court paperwork	arcHouse.			Electronic deletion and confidential waste	
Once and and arises decreased a	Individual property files		Scanned and archived upon tenancy termination	collection	Limitations Act 1980
- Court submission documents		4			
- Court judgement paperwork					
Garage Property file	Scanned and archived upon tenancy termination				
- Application form			Guar standard to be determined	Electronic deletion and confidential waste	
- Tenant letters			6 year standard - to be determined	collection	
- SDDC permission letters					
- Court paperwork					
Rent Accounting area summary report	Electronic data is stored in Orchard	1		Plantanta deletter and a 10 to 0 to 10	
	Filed in a annual folder		6 year standard - to be determined	Electronic deletion and confidential waste collection	
- Weekly financial statement on rents	Floatronia data is atomad in Orchand	4			
Cash Receipting summary report	Electronic data is stored in Orchard		Curan standard to be determined	Electronic deletion and confidential waste	
Markly financial statement on youts	Filed in a annual folder		6 year standard - to be determined	collection	
- Weekly financial statement on rents	Floatronia data is atomad in Orchand				
Housing Benefit import report	Electronic data is stored in Orchard			Electronic deletion and confidential waste	
Confirmation various of amounts wanted to	Filed in a annual folder		6 year standard - to be determined	collection	
- Confirmation report of amounts posted to Orchard arcHouse from the HB system				Collection	
Refund Form	Electronic data is stored in Orchard				
Refulle Form	Filed in a annual folder			Electronic deletion and confidential waste	
- Request to receive a refund of rent	i lied iii a airiidai foidei		6 year standard - to be determined	collection	
request to reserve a retaile of refit				Concolion	
Right to Buy					
				Electronic deletion and confidential waste	
- Right to Buy applications and supporting	Completions sent to Legal Services		If withdrawn retained on the property file	collection	
documentation	<u>l</u>	<u> </u>			
Sheltered Housing Support Plans					
				Electronic deletion and confidential waste	
	Stored in Individual person files		Destroyed upon each 6 monthly review (review)	collection	
- Detailed information gathered to set up an				Concouon	
agreed action plan of support					
The process of offering financial help with	Stored in individual files in locked	<u> </u>	L		
welfare housing provision and maintenance	drawers.		Destroyed upon each 6 monthly review (Review)		
<u> </u>			Lost novment L. G. veere if signed		
Mortgages –	Secure eteroge (Finance / and)	Move to Least	Last payment + 6 years if signed		Statuton
Mortgage agreements	Secure storage (Finance/Legal)	Move to Legal	Last payment + 12 years if sealed		Statutory
- Correspondence	Converte et e e e e		Cusay standard to be determined	Confidential weats collection	Camman nuastica
'Right to Buy'	Secure storage		6 year standard - to be determined	Confidential waste collection	Common practice Statute Limitation Act 1980
Sala daguments					Statute Littiliation Act 1980
Sale documents					
Agreement concerning sales		Section to be			
Housing Grants (various) –		reviewed	Electronically archived after 3 years		
		10 AIG MGC			
			Electronic records and manual grant register kept		
- Initial enquiry form			indefinitely		
- Application form					
- Owners/tenants certificate				Securely destroyed by scanning company	
- Supporting documentation					
	•	•	•	•	•

- Correspondence	I	i			1
- Grant Approval					
	Stored in individual grant files,				
- Certificate of Satisfactory Completion	electronically on the grants database				
- Completion Certificate	and a manual entry made in the				
- Payment details	appropriate grant register				
Disabled Facilities Grants (both Private and			Electronically archived after 3 years		
Council) –					
			Electronic records and manual grant register kept		
- Initial test of resources form			indefinitely		
- Social Services Referral			indefinitery		
- Application form	Stored in individual grant files,				
- Owners/tenants certificate	electronically on the grants database			Securely destroyed by scanning company	Statutory Limitations Act 1980
	and a manual entry made in the			3 , ,	,
- Supporting documentation	appropriate grant register				
- Correspondence					
- Grant Approval					
- Certificate of Satisfactory Completion					
- Completion Certificate					
- Payment details					
Housing Enforcement –					
- Complaint details	Hard copy and electronic record		Destroy after 6 years unless specific statute	Electronic deletion and confidential waste	Housing Act 2004
- Correspondence	riard copy and ciccironic record	Move to Matt H	requires its retention	collection.	Hodding Act 2004
- Enforcement Notices					
Caravan Licensing –	Hard copy and electronic record	Move to Matt H			Caravan and Development Control 1960
Housing Stock -	 	Wove to Watt 11			
- Emergency maintenance	Electronic data is stored in Orchard				
- Property adaptations	arcHouse. Individual property files				
- Repairs and history	individual property files				
- Repairs and mistory					
Risk assessments -					
	1. All paper records held on disc and				
- Asbestos register & reports	more recent Armstrong York surveys			Electronic deletion and confidential waste	Control of Asbestos Regulations 2012
Addition register a reporte	also available through a Portal.			collection	Control of 710500100 Progulations 2012
	ŭ .				
	2. No health surveillence of those		50 years from last action or 75 years from date of	Electronic deletion	
	removing uncalssified asbestos		birth (greater)		
- Legionella reports	3. Electronic (Excel) records held on		Keep the last one issued		
Housing improvements -					
- Contracted projects	Secure storage (Legal safe)		Sealed and signed by Chief Executive and	Confidential waste collection	
- Project files	Paper files		Chairman - kept for 12 years 12 years		
Safety Certificates -					
<u> </u>	Electronic LGSR & CP4(PDF) on				
Can partificates	v:\media\housing\repairs and		Electronic certificate = current year plus previous	Confidential wests collection	
- Gas certificates	improvements\improvements\certifica		2 years. Paper CP4's = current year plus 2 years.	Confidential waste collection	
	tes. Paper CP4 also kept in a file.				
	L				
	Paper or Electronic records held on				
- Electrical certificates	v:\media\housing\repairs and		Keep at least the current plus one		
	improvements\improvements\certifica		The same of the sa		
	tes.				

Legal and Democratic Services

Election				
Electoral Register - Preparation – summary certification of those eligible to vote	Electronic record	Permanent	Not applicable	Common practice

-				
Main Election Documents/ Referenda (UK Parliamentary, European Parliamentary, Local including Parish, PCC and County Elections) - Ballot Papers - Ballot Papers Accounts - Postal voting Statements - All polling station forms - Tendered votes list - Marked Register and Proxy Voters list - Corresponding Number List - Certificate of Employment - Postal Voting Packs(rejected at adjudication Stage - Notice of Alterations - Unused ballot papers	Secure Storage	Destroy 1 year from Declara	ttion of results Confidential Waste	Statutory
- Unused lost and spoilt postal vote packs				
- Issued lost and spoilt paperwork Other Elections Documents(UK Parliamentary, European Parliamentary, Local including Parish, PCC and County Elections) - Candidate Nomination papers - Consent to Nomination - Home address form(UKGPE Only) - Appointment of Agents	Secure Storage	Destroy 21 Calender days afte been made or for UKGPE 21 Ci the Writ has been re	alender days after Confidential Waste	Electoral Commission Guidance
Referenda All main Election Documents	Secure Storage	Destroy 1 year from Declara	ation of results Confidential Waste	Statutory
Registration Full Electoral Register	Secure Storage	Permanent	Confidential Waste	Common practice
Postal Vote Applications(when application is	Secure Storage	Destroy 1 year after Postal V	ote Application Confidential Waste	Common practice
made for a specific Election Postal Vote Applications(for all elections entitled to vote at)	Electronic Record Secure Storage	Deadline Permanent or until either repla refresh form or can-	ced by signature Electronic deletion and Confider	
HEF, ITR and QEA Forms	Electronic Record	1 year from publication of m		Partly Statutory/partly common practice
All ECU Expences Returns	Electronic Record	Destroy after 5 years		
All ECU Expences Returns Candidate Expenses (UK Parliamentary, European Parliamentary, Local including Parish, PCC and County Elections) - Declaration by Candidate - Declaration by Election Agent(if appointed) - Return of Candidate spending	Secure Storage	Destroy after 5 years Destroy 2 years from the las		
Scanned Documents	Electronic Record	Life of Electors Regis	Electronic deletion and Confider	ntial waste for Common practice
	2.00.00 1.00010	End of Election Region	paper records	·
Voting – local elections only - Ballot papers	Secure storage	Destroy 6 months from c	lose of poll Confidential waste collection	Representation of the People Regulations 1986 and Local Elections (Parishes and Communities) Rules 1986
Results – declaration of results - Consolidated returns of votes received	Electronic record	Permanent	Not applicable	Representation of the People Regulations 1986
Council and Committee Meetings				
The formal process of preparing business for Council consideration and making the record of discussion, debate and resolutions – - Council minutes - Council agenda and business papers - Council notice papers and proceedings - Indexes - Committee minutes - Registers of delegations to Special Committees	Electronic Record Secure Storage	Permanent	Not applicable	Local Govt Act 1972, Section 100c

Member Code of Conduct	Electronic Record and Secure storage held by Monitoring Officer	Destroy 6 years after last action	Electronic deletion and Confidential waste collection	Common practice
Civic and Royal Events				
The recording of ceremonial events and civic occasions	Secure storage	Destroy 7 years after administrative use is concluded	Confidential waste collection	Common practice
The process of organising a ceremonial event or civic occasion	Secure storage	Destroy 7 years after administrative use is concluded	Confidential waste collection	Common practice
Legal and contracts				
Litigation				
The process of managing, undertaking or defending for or against litigation on our behalf: - Criminal case files - Civil case files - Correspondence	Secure storage	Major litigation – permanent Minor destroy 6 years after last action	Confidential waste collection.	Common practice
Advice				
The process of providing legal advice on a point of law	Electronic record and secure storage	Destroy 6 years after last action	Electronic deletion and confidential waste collection	Common practice
Agreements				
Process of agreeing terms between organisations – this does not include contractual agreements – Concordat	Electronic record and secure storage	Destroy 6 years after agreement expires or is terminated	Electronic deletion and confidential waste collection	Common practice Depends on value of agreement, mainly to do with agreements between public bodies, not in regard to contracts
Conveyancing				
The process of changing ownership of land or property	Electronic record and secure storage	Permanent	Not applicable	Common practice
Conveyancing files for: - Sales - Purchases	Electronic record and secure storage	Destroy 12 years after closure or after all obligations/benefit of covenants etc have been discharged, if later.	Confidential Waste collection	Partly statutory / partly common practice
Leases (files)	Electronic record and secure storage	Destroy 12 years after end of lease	Confidential waste collection	Partly statutory / partly common practice
Leases (deeds)	Secure storage	Destroy 12 years after end of lease if under seal 6 years after end of lease if under hand	Confidential waste	Statutory
Title Deeds (purchase) Copy of conveyances/transfers (Sales)	Secure storage	Permanent	Not applicable	Common practice
Contract files and documents	Secure storage	Destroy 12 years after end of lease if under seal 6 years after end of lease if under hand	Confidential waste	Statutory
Contracts				
Pre Contract Advice	Electronic and Secure Storage	Destroy 6 years after last action	Electronic deletion and confidential waste collection	Common practice
Contract Management				
Contract operation and monitoring – - Service Level Agreements				
- Compliance reports	Held departmentally by Contract	Destroy 6 years after the terms of the contract	Electronic deletion and confidential waste	
- Performance reports	Manager in secure storage	have expired	collection	Common practice
Management and amendment of contract –				
- Minutes and papers of meetings		Ordinary Contract: destroy 6 years after the terms of contract have expired		

- Changes to requirements - Variation forms - Extension of contract - Complaints - Disputes on payments	Held departmentally by Contract Manager in secure storage	Coi terr	ntracts Under Seal: destroy 12 years after the ms of the contract have expired	Electronic deletion and confidential waste collection	Common practice
Planning					
Advice	Electronic record and secure storage		Destroy 6 years after last action	Electronic deletion and confidential waste collection	Common practice
S106, Unilateral Undertaking , Deed of vaitaions or any other agreement	Electronic Record and secure storage		Permanent	Not applicable	Common Practice
Licensing					
Applications/Notices	Electronic Record		Destroy 3 years after expiry of Licence or any renewals	Electronic Deletion	Common Practice
Plans	Electronic record and secure storage	Г	Destroy 3 years after expiry of Licence or any renewals	Electronic deletion and confidential waste collection	Common Practice
ID Documents including DBS	Electronic		Destroy 3 years after expiry of Licence or any renewals	Electronic Deletion	Common Practice
Medical Certificates including Eye sight Certificates	Electronic		Destroy 3 years after receiving updated/new certificates	Electronic Deletion	Common Practice
Investigations	Electronic record and secure storage		Destroy 6 years after last action	Electronic deletion and confidential waste collection	Common Practice
Licence Documents/Correspondence	Electronic Record	Г	Destroy 3 years after expiry of Licence or any renewals or closure of premises	Electronic Deletion	Common Practice
Criminal Checks	Electronic Record		Destroy 6 months after last action	Electronic Deletion	Common Practice
Bank Account Details	Electronic Record		Destroy 1 month after last action	Electronic Deletion	Common Practice
Prosecutions	Electronic record and secure storage		Destroy 6 years after last action	Electronic deletion and confidential waste collection	Common Practice
Complaints	Electronic record and secure storage	Г	Destroy 3 years after expiry of Licence or last action	Electronic deletion and confidential waste collection	Common Practice
Public Consultation	Electronic record and secure storage		Destroy 12 years after last action	Electronic deletion and confidential waste collection	Common Practice
Correspondence from Third Parties/Partners	Electronic record and secure storage		Destroy 6 years after last action	Electronic deletion and confidential waste collection	Common practice

Management and administration

Corporate Planning and Reporting						
Corporate planning and reporting activities – - Corporate plans - Strategy plans - Business plans - Annual reports	Electronic record (may be printed for archiving)	Unclassified	Permanent	Not applicable	Common practice	
The process of preparing business for strategic consideration and making the record of discussion, debate and resolutions – - Leadership Team Minutes - Members and Leadership Team Minutes	Electronic record and secure storage	Protect	ICurrent tinancial year and 3 prior years	Electronic deletion and confidential waste collection	Organisation judgement	
Information Management						
Data Protection – - Subject Access Request - Notification	Electronic Record and secure storage	Restricted	Current financial year and 3 prior years	Electronic deletion and confidential waste collection	Organisation judgement	
Freedom of Information and Environmental Information – – Policy Records – Access status records - Individual transaction records	Electronic records and secure storage	Protect		Electronic deletion and confidential waste collection	Organisation judgement	

- Information scheduled for destruction									
Enquiries and Complaints	Enquiries and Complaints								
The management in the summary form of enquiries and complaints directed to the council – Indexes registers	Electronic record	Unclassified	Permanent	Not applicable	Common practice				
The management of detailed responses on council actions, policy or procedures – Reports Returns Correspondence Ombudsman	Electronic record and secure storage	Protect	Current financial year and 3 prior years	Electronic disposal and confidential waste collection	Organisation judgement				
The management in the summary form of enquiries and complaints directed to the council – Indexes registers	Electronic record		Permanent	Not applicable	Common practice				
The management of enquiries, submissions and complaints which result in significant changes in Policy or procedures – Reports Returns Correspondence	Electronic record		Permanent	Not applicable	Common practice				
The management of detailed responses on council actions, policy or procedures – Reports Returns Correspondence Ombudsman	Electronic record and secure storage		Destroy 6 years after administrative use is concluded	Electronic disposal and confidential waste collection	Common practice				
The management of routine responses on council actions, policy or procedures – - Printed material - Form letters	Electronic record and secure storage		Destroy 2 years after administrative use is concluded	Electronic disposal and confidential waste collection.	Common practice				

Management and administration

Corporate Planning and Reporting						
Corporate planning and reporting activities – - Corporate plans - Strategy plans - Business plans - Annual reports	Electronic record (may be printed for archiving)	Unclassified	Permanent	Not applicable	Common practice	
The process of preparing business for strategic consideration and making the record of discussion, debate and resolutions – - Leadership Team Minutes - Members and Leadership Team Minutes	Electronic record and secure storage	Protect	ICurrent tinancial year and 3 prior years	Electronic deletion and confidential waste collection	Organisation judgement	
Information Management						
Data Protection – - Subject Access Request - Notification	Electronic Record and secure storage	Restricted	IC Jurrent financial year and 3 prior years	Electronic deletion and confidential waste collection	Organisation judgement	
Freedom of Information and Environmental Information – – Policy Records						

- Access status records - Individual transaction records - Information scheduled for destruction	Electronic records and secure storage	Protect		Electronic deletion and confidential waste collection	Organisation judgement
Enquiries and Complaints					
The management in the summary form of enquiries and complaints directed to the council – Indexes registers	Electronic record	Unclassified	Permanent	Not applicable	Common practice
The management of detailed responses on council actions, policy or procedures – Reports Returns Correspondence Ombudsman	Electronic record and secure storage	Protect	Current financial year and 3 prior years	Electronic disposal and confidential waste collection	Organisation judgement
The management in the summary form of enquiries and complaints directed to the council – Indexes registers	Electronic record		Permanent	Not applicable	Common practice
The management of enquiries, submissions and complaints which result in significant changes in Policy or procedures – Reports Returns Correspondence	Electronic record		Permanent	Not applicable	Common practice
- Returns - Correspondence - Ombudsman	Electronic record and secure storage			Electronic disposal and confidential waste collection	Common practice
The management of routine responses on council actions, policy or procedures – - Printed material – Form letters	Electronic record and secure storage			Electronic disposal and confidential waste collection.	Common practice

Organisational Development Unit

Human Resources & Training						
Absence Monitoring records	Electronic employee file - Resourcelink		6 years after termination of employment	Electronic deletion		
Disciplinary Matters	Electronic employee file - Resourcelink and internal network		In line with Disciplinary Procedure	Electronic deletion from personal file Confidential waste for paper records		
Grievance matters	Electronic employee file - Resourcelink and internal network		6 years after termination of employment	Electronic deletion from personal file Confidential waste for paper records		
Occupational Health reports & other medical assessment	Electronic employee file - Resourcelink and internal network		50 years after last action	Electronic deletion	Health & Safety legislation	
Employment administration (contracts, changes, letters etc)	Electronic employee file - Resourcelink and internal network		6 years after termination of employment	Electronic deletion		
Maternity, Paternity, adoption, parental etc	Electronic employee file - Resourcelink and internal network		6 years after termination of employment	Electronic deletion		
Personal data including date for workforce monitoring next of kin details, emergency contact etc	Electronic employee file - Resourcelink and internal network		6 years after termination of employment	Electronic deletion		
Training records (internal and external)	Electronic employee file - Resourcelink and internal network		6 years after termination of employment	Electronic deletion		
	l l					

Training records (Health & safety)	Electronic employee file - Resourcelink and internal network	50 years after last action	Electronic deletion	Health & Safety legislation		
Performance Development Reviews	Electronic employee file - Resourcelink	6 years after termination of employment	Electronic deletion			
Job evaluation questionnaires	Internal network	4 years after date of implementation	Electronic deletion			
Human Resources Policies and Procedures	Intranet site and internal network	2 years for Policies that are superseded	Electronic deletion			
Termination of employment	Electronic employee file - Resourcelink and internal network	6 years after termination of employment	Electronic deletion			
Recruitment Administration (successful employee)	Electronic employee file - Resourcelink and internal network	6 years after termination of employment	Electronic deletion from personal file Confidential waste for paper records			
Recruitment Administration (unsuccessful applicants)	Internal network and Derbyshire County Council hosted recruitment website	12 months following completion of interview	Electronic deletion from personal file Confidential waste for paper records			
Disclosure Barring Service (DBS)	On-line website used	As per DBS guidelines	As per DBS guidelines	DBS guidelines		
Employee relations – (Collective agreements, disputes, claims)	Internal network	6 years after administrative use is concluded or revised agreement in place	Electronic deletion			
Health & Safety						
Accident records	Assessnet - hosted H&S IT system and paper records	40 years from date of accident	Electronic deletion from Assessnet Confidential waste for paper records	Health & Safety at Work Act 1974		
Health & Safety Audits	Electronic record	6 years from completion of Audit	Electronic deletion			
Risk Assessments	Electronic record	3 years from completion of assessment	Electronic deletion	Management of Health & Safety at Work Regulations 1992		
Health & Safety Inspections	Electronic record	40 years from inspection	Electronic deletion			
Health and Safety - DSE training	Assessnet - hosted H&S IT system	6 years after termination of employment	Electronic deletion			
Corporate Policy and Procedures	Electronic records on intranet	2 years for Policies that are superseded	Electronic deletion			
Policy & Communications						
Corporate Plan	Website, Intranet, electronic record	Permanent archive	Na			
Corporate performance information	Electronic record	6 years after administrative use is completed	Electronic			
Public consultation	Secure storage and electronic record	5 years from completion date	Electronic deletion and Confidential waste for paper records			
Media releases and articles	Electronic record	Permanent archive	Na			
Corporate design and production	Electronic record	One copy for archive; 3 years after use is concluded	Electronic deletion and recycled for other materials			
Website, Intranet & Social Media	Electronic record	Annual review of information	Electronic deletion as required			
Printing	Secure storage and electronic record	1 month after completion date	Electronic deletion, confidential waste and recycling			

Planning

Development Management				
Planning Application files – Complete file.	Paper files SDDC Offices/ Off Site Storage			
(including works to trees and hedgerows)	Microfiche SDDC Offices	Permanent	Once paper files are scanned and added to electronic archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
	Electronic Archives SDDC Servers			
	Paper files SDDC Legal Archives			
	Electronic Archives		Once paper files are scanned and added to	
Tree preservation orders	SDDC Servers		electronic archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Decision Notices (Planning Application Register)	Paper files SDDC Offices Microfiche SDDC Offices Electronic Archives SDDC Servers	Permanent	Once paper files are scanned and added to electronic archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities

Permitted Development Enquiries	Paper files SDDC Offices Electronic Archives SDDC Servers	Permanent	Once paper files are scanned and added to electronic archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Planning Index Cards	Electronic Archives SDDC Servers	Permanent		Common Practice as per Retention Guidelines fo Local Authorities
Pre Application Advice/Preliminary Enquiries	Paper files SDDC Offices/ Off site storage Electronic Archives SDDC Servers	Permanent	Once paper files are scanned and added to electronic archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Planning Appeal Files (Including Hearings & Inquiries)	Paper files SDDC Offices/ Off Site Storage Electronic Archives SDDC Servers	Permanent	Once paper files are scanned and added to electronic archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Planning Appeal Decisions	Electronic Archives SDDC Servers	Permanent		Common Practice as per Retention Guidelines for Local Authorities
Planning Enforcement				
Planning Enforcement Files	Paper files SDDC Offices Electronic Archives SDDC Servers	Permanent	Once paper files are scanned and added to electronic archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines fo Local Authorities
Planning Enforcement Notice Register	Electronic Archives SDDC Servers	Permanent		Common Practice as per Retention Guidelines for Local Authorities
Planning Agreements				
Agreements Made Pursuant To Section 106 Of The TCPA 1990	Original Agreements SDDC Legal Archive. Electronic Archives SDDC Servers	Permanent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines fo Local Authorities
Section 106 Monitoring Files	Paper files SDDC Offices Electronic Archives SDDC Servers	Permanent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Building Control	<u> </u>			
Building Regulation Application Files -Complete File	Paper files SDDC Offices Microfiche SDDC Offices Electronic Archives SDDC Servers	Permanent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Building Control Register	Paper files SDDC Offices Microfiche SDDC Offices Electronic Archives SDDC Servers	Permanent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines fo Local Authorities
Demolition Notices	Paper files SDDC Offices Electronic Archives SDDC Servers	Permanent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
				I

Dangerous Structures files	Paper files SDDC Offices Electronic Archives SDDC Servers	Perm	manent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Competent Persons Scheme Register	Electronic Archives SDDC Servers	Perm	nanent		Common Practice as per Retention Guidelines for Local Authorities
Street Naming & Numbering Records	Paper files SDDC Offices Electronic Archives SDDC Servers	Perm	manent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Unauthorised Works/ Enforcement Files	Paper files SDDC Offices Electronic Archives			Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Planning Policy/Local Plans					
South Derbyshire Local Plan Adopted 1998	Paper files SDDC Electronic Archives SDDC	Perm	nanent	Once paper files are scanned and added to archive the paper file is sent for secure shredding except for the representations made during the process of the Local Plan.	Common Practice as per Retention Guidelines for Local Authorities
South Derbyshire Local Plan Review	Paper files SDDC Electronic Archives SDDC	Perm	manent	Once paper files are scanned and added to archive the paper file is sent for secure shredding except for the representations made during the process of the Review.	Common Practice as per Retention Guidelines for Local Authorities
South Derbyshire LDF	Paper files SDDC Electronic Archives SDDC	Perm	nanent	Once paper files are scanned and added to archive the paper file is sent for secure shredding except for the representations made during the process of the Review.	Common Practice as per Retention Guidelines for Local Authorities
Neighbouring Development Plans and Consults.	Paper files SDDC Electronic Archives SDDC	Perm	manent		Common Practice as per Retention Guidelines for Local Authorities
National Policy (and Regional incl LEP's)	Paper files SDDC Electronic Archives SDDC	Perm	manent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Topic Areas (e.g. transport, housing, environment)	Paper files SDDC Electronic Archives SDDC	Perm		Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Planning Projects (e.g. Town Centre)	Paper files SDDC Electronic Archives SDDC	Perm	manent		Common Practice as per Retention Guidelines for Local Authorities
Minerals and Waste	Paper files SDDC Electronic Archives SDDC	Perm	manent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Infrastructure and Public Utilities	Paper files SDDC Electronic Archives SDDC			Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
Heritage Library (e.g. parish plans, parish histories)	Paper files SDDC Electronic Disk SDDC	Perm	manent		Common Practice as per Retention Guidelines for Local Authorities
Planning consults	Paper files SDDC	Perm	manent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities

Publications (e.g. Conservation Area histories, conservation area character statements)	Paper files SDDC Electronic Archives SDDC		Are given/sold to interested parties so could be scanned but hard copies still to be retained.	Common Practice as per Retention Guidelines for Local Authorities
Better Design	Paper files SDDC Electronic Archives SDDC	Permanent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
	Paper files SDDC Electronic Archives SDDC	Permanent	Once paper files are scanned and added to archive the paper file is sent for secure shredding.	Common Practice as per Retention Guidelines for Local Authorities
	Electronic Archives SDDC	Permanent		Common Practice as per Retention Guidelines for Local Authorities